

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

ROSE

Serial No.: Unknown

Filed: Herewith

For: **MANAGEMENT OF TERMINATIONS IN A COMMUNICATIONS  
NETWORK**

Group No.: Unknown

Examiner: Unknown

Docket No. CITR1160

Assistant Commissioner for Patents  
Washington, D.C. 20231

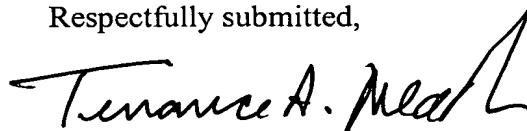
Sir:

**INFORMATION DISCLOSURE STATEMENT**

Applicant hereby cites the documents listed in accompanying Form PTO-1449 with respect to the above-referenced patent application under the provisions of 37 CFR 1.97(b). Copies of the documents are attached.

The Examiner is respectfully requested to make the listed documents of record in connection with the prosecution of the subject application.

Respectfully submitted,



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Date: 20 April 2001

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09/830112

PTO/SB/08B (08-00)

Approved for use through 10/31/2002. OMB 0651-003

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JC18 Rec'd PCT/PTO 2 0 APR 2001

Substitute for form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (use as many sheets necessary)				<b>COMPLETE IF KNOWN</b>	
				Application Number	Unknown
				Filing Date	Herewith
				First Named Inventor	Rose
				Group Art Unit	Unknown
				Examiner Name	Unknown
SHEET 1 OF 1	Docket Number	CITR1160			

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (If known)			
		5,042,062		Lee et al	08/20/91	
		5,519,689		Kim	05/21/96	
		5,574,778		Ely et al	11/12/96	
		5,771,230		Stoekl et al	06/23/98	
		5,650,994		Daley	07/22/97	

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (If known)				

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>6</sup>
		International Search Report for PCT/AU99/009934	

Examiner Signature	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two letter-code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard St. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language translation is attached.

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JO Rec'd PCT/PTO 20 APR 2001

PATENT ATTORNEY DOCKET NO.: CITR1160

The Patent and Trademark Office date stamp sets forth the receipt date of:

Applicant: IAN ALEXANDER ROSE

Application No.: Unknown

Filed: Herewith

Title: MANAGEMENT OF TERMINATIONS IN A COMMUNICATIONS NETWORK

Art Unit: Unknown

Examiner: Unknown

Batch No.: N/A

Date of Notice of Allowance: N/A

- ☒ Transmittal Letter - FORM PTO-1390 (2 copies)    ☐ Petition for Month Extension of Time (pg.)  
☒ Copy of International Application No. PCT/AU99/00934  
☒ Combined Declaration for Patent Application and Power of Attorney  
☒ Check: \$1,270.00    ☒ Certificate of Express Mail - Label No. EL617045740US  
☐ Drawings: \_\_\_ Sheets (Formal)    ☐ Certificate of Correction (pg.)  
☒ Preliminary Amendment (11pgs)    ☐ Notice of Appeal (2 pgs.)  
☒ Small Entity    ☐ Appeal Brief (pg; 3 copies)  
☒ Copies of Demand and Notification Concerning Submission  
☐ Assignment/Recordal Cover Sheet (pg.)  
☐ Copy of Notice to File Missing Parts  
☒ Information Disclosure Statement (pg.)  
☒ Form PTO-1449 (1 pg.)  
☒ Number of Prior Art References: 5  
☐ Declaration (pg.)    ☐ Verified Statement (pg.)  
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